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01-22-02

Attorney's Docket No. BOW5075.10A

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Box Patent Application Assistant Commissioner for Patents** Washington, D.C. 20231



### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventor(s):

ROBERT W. BOWER

For (title):

TRANSPOSED SPLIT OF ION CUT MATERIALS

#### **Type of Application** 1.

This new application is for a(n):

- Original (nonprovisional)
- Design
- Plant
- Divisional
- Χ Continuation
- Continuation of PCT designating US
- Continuation-in-part (CIP)

### **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date \_January 17, 2002\_ in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL694788078US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

John P. O'Banion

(Type or print name of person mailing paper)

(Signature of person mailing paper)

Each paper or fee referred to as enclosed herein has the number of the "Express Mail" label placed thereon prior to NOTE: mailing. 37 CFR 1.10(b).

Page 1 of 10

2.			ed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 sign) Application
	<u>11</u>	Pages	of specification
	9	Pages	of claims
	_1_	Pages o	of Abstract
	_1	Sheets	of drawing
		<u>X</u>	formal
		_	informal
			The enclosed drawing(s) include photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. 1.84(b).
3.	Additio	onal pap	ers enclosed
	_	Prelimi	nary Amendment
	_	Informa	ation Disclosure Statement
	_	Form F	TO - 1449
	_	Citation	ns
	_	Authori	zation of Attorney(s) to Accept and Follow Instructions from Representative
	_	Specia	Comments
	_	Other	
4.	Declar	ation O	r Oath
	<u>X</u>	Enclos	ed
		execut	ed by:
		_	inventor(s)
		_	legal representative of inventor(s). 37 CFR 1.42 or 1.43.
			joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
			this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. (See item 18 below for fee.)

5.

6.

7.

8.

NOTE:

37 CFR 1.78(a).

	_ <u>X</u> _	Copy from a prior application (37 CFR 1.63(d)) (divisional or continuation only)
_	Not E	nclosed.
	-	Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).
		Attached is a showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).
Inver	itorship	Statement
The in	nventors	hip for all the claims in this application are:
<u>X</u>	The s	rame
		or
	Are n	ot the same. An explanation, including the ownership of the various claims at the
	time t	he last claimed invention was made,
	_	is submitted.
	-	will be submitted.
Lang	luage	
<u>X</u>	Engli	sh
_	non-l	English
	_	the attached translation is a verified translation. 37 CFR 1.52(d).
Assi	gnment	
	An a	ssignment of the invention to:
	_	is attached. A separate "ASSIGNMENT COVER LETTER ACCOMPANYING
	NEW	/ PATENT APPLICATION" is also attached.
	_	will follow.
Ben	efit of P	rior U.S. Application(s) (35 U.S.C. 119(e), 120 or 121)

"In order for an application to claim the benefit of a prior filed copending national application, the prior application must name as an inventor at least one inventor named in the later filed application and disclose the named inventor's invention claimed in a least one claim of the later filed application in the manner provided by the first paragraph of 35 U.S.C. 112."

NOTE: "In addition, the prior application must be (1) complete as set forth in § 1.51, or (2) entitled to a filing date as set forth in § 1.53(b) and include the basic filing fee set forth in § 1.16, or (3) entitled to a filing date as set froth in § 1.53(b) and have paid therein the processing and retention fee set forth in § 1.21(l) within the time set forth in § 1.53(d)." 37 CFR 1.78(a).

NOTE: "Any nonprovisional application claiming the benefit of one or more prior filed copending provisional applications must contain or be amended to contain in the first sentence of the specification following the title a reference to each such prior provisional application, identifying it as a provisional application, and including the provisional application number (consisting of the series code and serial number) and filing date." 37 CFR 1.78(a)(4).

NOTE: "Any nonprovisional application claiming the benefit of one or more prior filed copending nonprovisional applications or international applications designating the United States of America must contain or be amended to contain in the first sentence of the specification following the title a reference to each such prior application, identifying it by application number (consisting of the series code and serial number) and filing date or international application number and international filing date and indicating the relationship of the applications. Cross-references to other related applications may be made where appropriate. (See §1.14(b))." 37 CFR 1.78(2).

- X Applicant(s) hereby claim(s) the benefit of the filing dates of the following prior U.S. Applications:
  - 1. (a) Application History (title as originally filed and as last amended, serial number, and filing date of all prior applications):

Title:

TRANSPOSED SPLIT OF ION CUT MATERIALS

Ser. No.:

09/476,456

Filed:

**DECEMBER 30, 1999** 

(b) Name of applicant(s) (as originally filed and as last amended), and current correspondence address of applicant(s):

Name:

ROBERT W. BOWER

Address:

861 SOUTHWOOD BOULEVARD, #20

INCLINE VILLAGE, NV 89451

2. (a) Application History (title as originally filed and as last amended, serial number, and filing date of all prior applications):

Title:

TRANSPOSED SPLIT OF ION CUT MATERIALS

Ser. No.:

60/114.494

Filed:

**DECEMBER 31, 1998** 

(b) Name of applicant(s) (as originally filed and as last amended), and current correspondence address of applicant(s):

Name:

ROBERT W. BOWER

Address:

861 SOUTHWOOD BOULEVARD, #20

INCLINE VILLAGE, NV 89451

NOTE: The proper reference to a prior filed PCT application which entered the U.S. national phase is the U.S. serial number and the filing date of the PCT application which designated the U.S.

NOTE: (1) Where the application being transmitted adds subject matter to the International Application then the filing can be as a continuation-in-part or (2) it is desired to do so for other reasons, then the filing can be as a continuation.

NOTE: The deadline for entering the national phase in the U.S. for an international application was clarified in the Notice of April 28, 1987 (1079 O.G. 32 to 46) as follows:

"The Patent and Trademark Office considers the international application to be pending until the 22nd month from the priority date if the United States has been designated and no Demand for International Preliminary Examination has been filed prior to the expiration of the 19th month from the priority date and until the 32nd month from the priority date if a Demand for International Preliminary Examination which elected the United States of America has been filed prior to the expiration of the 19th month from the priority date, provided that a copy of the international application has been communicated to the Patent and Trademark Office within the 20 or 30 month period respectively. If a copy of the international application has not been communicated to the Patent and Trademark Office within the 20 or 30 month period, respectively, the international application becomes abandoned as to the United States 20 or 30 months from the priority date, respectively. These periods have been placed in the rules as paragraph (h) of § 1.494 and paragraph (i) of § 1.495. A continuing application under 35 U.S.C. 365(c) and 120 may be filed anytime during the pendency of the international application."

### 9. Priority Claim for Prior Application (35 U.S.C. 119)

	•			
	The prior U.S. application(s), included identified above in item 8, in turn itse			U.S.
(country)	(appin. no.)	(filed on)		
(country)	(appin no.)	(filed on)		
(country)	(appin. no.)	(filed on)		
The cer	rtified copy (ies)			
	is (are) attached.			
	has (have) been filed o	on in s filed on	prior application serial nur	nbe
	will follow.			

WARNING:

The certified copy of the priority application which may have been communicated to the PTO by the International Bureau may <u>not</u> be relied on without the need to file a certified copy of the priority application <u>in a continuing application</u>. This is so because the certified copy of the priority application communicated by the International Bureau is placed in a folder and is not assigned a U.S. serial number unless the national stage is entered. Such folders are disposed of if the national stage is not entered. Therefore, such certified copies may not be available if needed later in the prosecution of a continuing application. An alternative would be to physically remove the priority documents from the folders and transfer them to the continuing application. The resources required to request transfer, retrieve the folders, make suitable record notations, transfer the certified copies, enter and make a record of such copies in the continuing application are substantial. Accordingly, the priority documents in folders of international applications which have not entered the national stage may not be relied on. Notice of April 28, 1987 (1079 O.G. 32 to 46).

### 10. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

NOTE: "If the continuation, continuation-in-part, or divisional application is filed by less than all the inventors named in the prior application, a statement <u>must</u> accompany the application when filed requesting deletion of the names of the person or persons who are not inventors of the invention being claimed in the continuation, continuation-in-part, or divisional

application." 37 CFR 1.62(a) [emphasis added] (dealing with the file wrapper continuation situation).

NOTE: "In the case of a continuation-in-part application which adds and claims additional disclosure by amendment, an oath or declaration as required by § 1.63 must be filed. In those situations where a new oath or declaration is required due to additional subject matter being claimed, additional inventors may be named in the continuing application. In a continuation or divisional application which discloses and claims only subject matter disclosed in a prior application, no additional oath or declaration is required and the application must name as inventors the same or less than all the inventors in the prior application." 37 CFR 1.60(c). (dealing with the continuation situation).

		(complete applicable item (a) or (b) below)
(a)		This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
		the same
		less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:
		Name:
		Name:
		Name:
(b)		This application discloses and claims additional disclosure and a new declaration or oath is being filed. With respect to the prior application whose particulars are set out above, the inventors in this application are
		the same
		add the following inventors
		Name:
		Name:
		Name:
11.	Mainte	enance of Copendency of Prior Application
NOTE:	The PTC	O finds it useful if a copy of the petition filed in the prior application extending the term for response is filed with the constituting the filing of the continuation application. Notice of November 5, 1985 (1060 O.G. 27).
	Extens	sion of time in prior application
		tem must be completed and the necessary papers filed in the prior application if the period

NOTE: The PTO finds it useful if a copy of the petition filed in the prior application extending the term for response is filed with the papers constituting the filing of the continuation application. Notice of November 5, 1985 (1060 O.G. 27).

Extension of time in prior application

(This item must be completed and the necessary papers filed in the prior application if the period set in the prior application has run)

A petition, fee and response has been filed to extend the term in the prior application until

A copy of the petition for extension of time in the prior application is attached.

(complete this item and file conditional petition in prior application if previous item not applicable)

Conditional Petition For Extension Of Time In Prior Application

A conditional petition for extension of time is being filed in the pending prior application.

### 12. Abandonment of Prior Application (if applicable)

Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

NOTE: According to the Notice of May 13, 1983, (103, TMOG 6-7), the filing of a continuation or continuation-in-part application is a proper response with respect to a petition for extension of time or a petition to revive and should include the express abandonment of the prior application conditioned upon the granting of the petition and the granting of a filing date to the continuing application.

NOTE: "A registered attorney or agent acting under the provisions of § 1.34(a), or of record, may also expressly abandon a prior application as of the filing date granted to a continuing application when filing such a continuing application." 37 CFR 1.138.

## 13. Petition For Suspension Of Prosecution For The Time Necessary To File An Amendment (if applicable)

WARNING:

"The claims of a new application may be finally rejected in the first Office Action in those situations where (1) the new application is a continuing application of, or a substitute for, an earlier application, and (2) all the claims of the new application (a) are drawn to the same invention claimed in the earlier application, and (b) would have been properly rejected on the grounds of art of record in the next Office Action if they had been entered in the earlier application." MPEP § 706.07(b).

NOTE:

Where it is possible that the claims on file will give rise to a first action final for this continuation application and for some reason an amendment cannot be filed promptly (e.g., experimental data is being gathered) it may be desirable to file a petition for suspension of prosecution for the time necessary.

(check the next item, if applicable)

\_\_\_\_ There is provided herewith a Petition to Suspend Prosecution For The Time Necessary To File An Amendment (New Application Filed Concurrently)

### 14. Notification in Parent Application of this Filing (if applicable)

A notification of the filing of this application is being filed in the parent application from which this application claims priority under 35 U.S.C. 120.

### 15. Fee Calculation (37 CFR 1.16)

A. X Regular Application

		CI	_AIMS A	S FILED	)			
Numb	er filed		Num	ber Extra	a	Rate		Basic Fee \$ 740.00
Total								
Claims 37 CFR 1.16(c)	48	- 20	=	28	Х	\$18.00	=	504.00
Independent								
Claims (37 CFR 1.16(b))	4	- 3	=	1	X	\$84.00	=	84.00
Multiple dependent claim(s),	-					<b>#000</b> 00		
if any (37 CFR 1.16(d))					+	\$280.00	_=	

	Amendment canceling extra claims encl Amendment deleting multiple-dependent Fee for extra claims is not being paid at	cies enclosed.	
		Filing Fee Calculation	\$ 1,328.00
в	Design application (\$330.00 - 37 CFR 1.16(f))		
	Filing Fee Calculation		\$ 
c	Plant application (\$510.00 - 37 CFR 1.16(g))		
	Filing Fee Calculation		\$ <del></del>
Small E	Entity Statement(s)		

### 16.

<u>X</u>	Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27
_	Status as a small entity was claimed in prior application serial number
	Filing Fee Calculation (50% of <b>A</b> , <b>B</b> or <b>C</b> above) \$ 664.00

17.	Requ	est for I	nternational-Type Search (37 CFR 1.104(d))	
	_	Pleas	e prepare an international-type search report for this app	lication at the time when
		nation	nal examination on the merits takes place.	
18.	Fee P	avment	Being Made At This Time	
	<u>X</u>	-	Inclosed	
	<u> </u>	Χ_	No filing fee is to be paid at this time. (This and the surc	harge required by 37 CFR
			1.16(e) can/will be paid subsequently.)	<b>J</b>
		Enclo		
	_	2.10.0	basic filing fee	\$
		_	recording assignment (\$40.00; 37 CFR 1.21(h))	\$
			petition fee for filing by other than all the	
		_	inventors or person on behalf of the inventor	
			where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))	\$
		_	for processing an application with a	
			specification in a non-English language. (\$130.00; 37 CFR 1.52(d) an 1.17(k))	\$
		_	processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$
		_	fee for international-type search report. (\$40.00; 37 CFR 1.21(e))	\$
			Total Fees Enclosed	\$
19.	Meth	od of P	ayment of Fees	
		Chec	ck in the amount of \$	
	_	Char A du	rge Account No in the amount of \$ plicate of this transmittal is attached.	
20.	Auth	orizatio	on to Charge Additional Fees	
	_	The pape	Commissioner is hereby authorized to charge the follower and during the entire pendency of this application to According	ing additional fees by this ount No;
		_	37 CFR 1.16(a), (f) or (g) (filing fees)	
			37 CFR 1.16(b), (c) and (d) (presentation of extra claim	ns)

- 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
   37 CFR 1.18 (application processing fees)
   37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))
- 21. Instructions As To Overpayment

\_\_ credit Account No. \_\_\_\_

X refund

### 22. Incorporation By Reference of Papers Identified Herein

Applicant(s) hereby incorporate(s) by reference all papers which are identified in this New Application Transmittal.

### 23. Correspondence Address

Please use the following correspondence address for all communications:

John P. O'Banion, Reg. No. 33,201 O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814 (916) 498-1010

Dated: <u>January 17, 2002</u>

John P. O'Banion, Reg. No. 33,201

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First N	amed Inventor	BOWER, ROBERT W.
Title TRANSPOSI		ED SPLIT OF ION CUT MATERIALS
Atty Do	ocket Number	BOW5075.10A

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 17, 2002

Date

Signature

John P. O'Banion

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.** 

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

	MAILING BY "EXPRESS I W. BOWER	MAIL" (37 CFR 1.10)	Docket No. BOW5075.10A						
Serial No. Filing Date Examiner Group									
Invention: TRANSPOSI	ED SPLIT OF ION CUT MATER	RIALS							
	e following correspondence:	(i) (Page 1)							
	velope addressed to: The Assista	ce "Express Mail Post Office to A	shington, D.C. 20231 on						
		John P. O'Bani (Typed or Printed Name of Person Mail (Signature of Person Mailing Co	ing Correspondence)						
		EL694788078U							
	Note: Each paper must h	ave its own certificate of mailing.							

CERTIFICATE OF I	MAILING BY "EXPRESS I W. BOWER	MAIL" (37 CFR 1.10)	Docket No. BOW5075.10A							
Serial No.	Serial No. Filing Date Examiner Group Art Unit									
Invention: TRANSPOSI	ED SPLIT OF ION CUT MATER	IALS								
	e following correspondence: and Power of Attorney (Copy from	m prior application) (Page 1 thru 5	5)							
Techniques American A	relope addressed to: The Assista	ce "Express Mail Post Office to A	shington, D.C. 20231 on							
The state of the s		John P. O'Banie (Typed or Printed Name of Person Mail.	ing Correspondence) rrespondence)							
To the second		EL694788078U ("Express Mail" Mailing Labo								
	Note: Each paper must ha	ve its own certificate of mailing.								

CERTIFICATE OF MApplicant(s): ROBERT V	IAILING BY "EXPRESS I V. BOWER	MAIL" (37 CFR 1.10)		Docket No. BOW5075.10A
Serial No.	Filing Date	Examiner		Group Art Unit
nvention: TRANSPOSE	D SPLIT OF ION CUT MATER	RIALS		
I hereby certify that the Drawing Sheets (Sheet 1	following correspondence:			
	(Identify type	of correspondence)		
is being deposited with	the United States Postal Servi		to Add	dressee" service under
	elope addressed to: The Assista			
January 17		and Commissioner for Faterits	, wasi	lington, D.O. 20231 On
(Date)	7-00-			
		John P. O' (Typed or Printed Name of Person		
		(Typea or Frintea Name of Ferson	y Mauri	g Correspondence)
		Standure of Person Mails	ing Corre	espondence)
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	Note: Each paper must h	ave its own certificate of mailing.		

CERTIFICATE OF BApplicant(s): ROBERT	Docket No. BOW5075.10A		
Serial No.	Filing Date	Examiner	Group Art Unit
Invention: TRANSPOSED SPLIT OF ION CUT MATERIALS			
	e following correspondence:  nru 11); Claims (Page 12 thru 20):	; Abstract (Page 21) of correspondence)	
	h the United States Postal Servi	ce "Express Mail Post Office	
January (Date	velope addressed to: The Assista 17, 2002	Iohn P. O'	'Ranian
(Typed or Printed Name of Person Mauting Correspondence)			
EL694788078US  ("Express Mail" Mailing Label Number)			

Note: Each paper must have its own certificate of mailing.